



Intelligent Investigations Platform

Purpose-Built Anti-Financial Crime Management

Enhance case management to maximize efficiency and improve quality of investigations, leveraging the power of technology to streamline manual processes and boost investigator productivity.

Entity Resolution

Integrated AI & Automation

Visual Storytelling

Link Analysis

Workforce Management

Improve the quality and speed of financial crime investigations with a purpose-built platform.

Investigating financial crimes often requires sifting through multiple systems, consulting third-party data sources and manually summarizing information to support cases. This process is not only time-consuming but also inefficient, making it challenging for analysts to get a comprehensive view of the activities under investigation. Nasdaq Verafin's Intelligent Investigations platform revolutionizes this process by embedding a suite of productivity tools into a single, cohesive system. This integration ensures faster, higher-quality investigations, empowering analysts to work more efficiently and effectively.



Purpose-Built
for Anti-Financial
Crime
Management



Holistic View
of Customer
Activity



Seamless
Upgrades and
Enhancements

Entity Resolution

A holistic view of customers and transactions

With deep domain expertise in data integration and standardization, Nasdaq Verafin resolves demographic and rich data from across your financial data systems to present you with a holistic view of your customers and their transactions. Nasdaq Verafin provides automated entity resolution that simplifies your data and presents you with a complete picture of customer activity, counterparties, and risk to improve the efficiency and effectiveness of investigations.

Product Features

Automated Entity Resolution

Integrating demographic and rich data from dozens of financial data systems, Nasdaq Verafin automatically resolves entities with greater precision and accuracy than legacy approaches, ensuring investigators are presented with unique entities and counterparties for more efficient investigations.

View a Single Entity

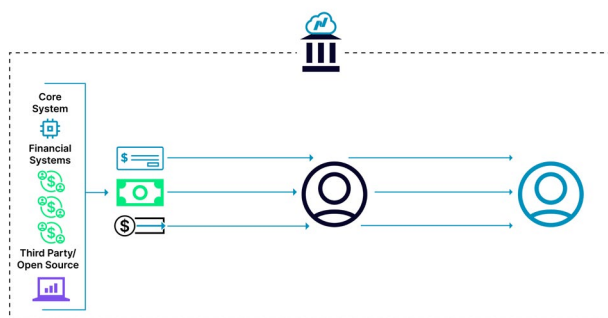
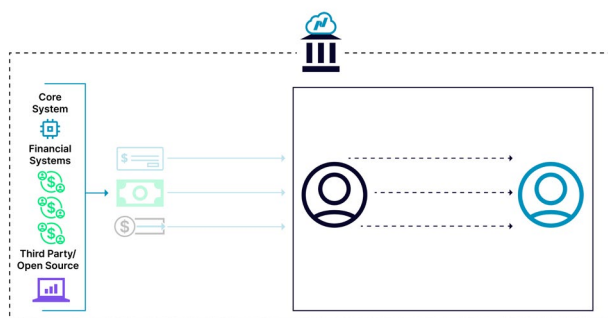
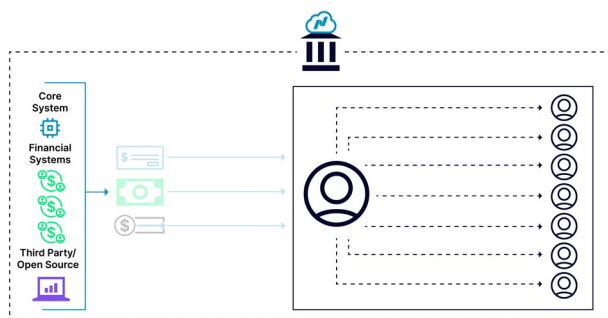
Visualize resolved entities with link charts and relationship graphs. Through automated entity resolution and enriched counterparty data, Nasdaq Verafin's powerful link analysis and investigation tools empower investigation teams to expedite decision making and confidently address truly suspicious activity.

Complete View of Customer Activity with Enriched Transactions

Nasdaq Verafin resolves transactional data from multiple sources, extracts the most important information, and enriches the data with additional information such as address normalization and third-party data to present you with a holistic view of customer activity.

Payee Risk Assessment with Counterparty Resolution

Nasdaq Verafin provides consortium-based counterparty enrichment to enhance your data with cross-institutional counterparty profiles from across the Nasdaq Verafin Cloud for an accurate view of payee risk.



Integrated AI & Automation

Expedite investigations with AI and automation

Nasdaq Verafin uses an advanced set of tools that are tailored to the task at hand, combining deep automation, Agentic AI, and Generative AI (GenAI) to expedite the investigation process. The Intelligent Investigations platform keeps investigators in the loop for decision-making and actioning, while automating the time-consuming manual processes required to manage a case – from alert triage to regulatory filing.

Product Features

Nasdaq Verafin Agentic AI Workforce

Nasdaq Verafin's embedded digital workers augment your analyst team by automating work, allowing investigators to focus on the most important aspects of an investigation. Digital workers are purpose built for the most resource-intensive activities, such as initial alert triage and disposition and routine enhanced due diligence reviews.

Integrated GenAI Capabilities for Customer and Counterparty Research

Nasdaq Verafin's Entity Research Copilot integrates generative AI directly into a purpose-built platform and workflows. Entity Research Copilot creates significant efficiencies by eliminating the need for alert investigators to conduct research on customers and counterparties through manual web searches and examining other third-party data sources.

Increase efficiency and expedite decision-making by leveraging Entity Research Copilot's key automation features:

- **Entity Research:** Conducts intelligent, automated open-source research to collect relevant information on entities and related counterparties.
- **Negative News Research:** Expedites negative news searches on alerted entities and counterparties by automating tasks associated with gathering information across multiple data sources.
- **Research Summaries:** Summarizes results in a thorough, concise research package for the investigator.
- **Research Record:** Provides a complete and cited history of activities in a single system of record, ensuring all research is documented, auditable and defensible.

Entity Research

The screenshot displays the Nasdaq Verafin Entity Research interface. On the left is a navigation sidebar with categories like 'Who' (Investigators, Counterparties, etc.), 'Activity' (Agents, Link Charts, etc.), and 'Documentation' (Narrative, Entity Research, etc.). The main panel shows a case for 'act00000123106 - Stephen Mansfield'. It includes a 'Subjects (1)' table with one entry: 'Suspect' with name 'Stephen Mansfield'. An 'Entity Research' panel is open, showing a detailed summary for 'Stephen Mansfield', including search date, status, and a narrative about his legal issues. The summary includes a '2 of 3 search results used' indicator and a 'Show >' link. A 'Close' button is visible at the bottom right of the summary panel.

Subject Type	Name	Primary	NI
☒	Suspect	Stephen Mansfield	Yes

Entity Research

Stephen Mansfield
Searched On: 8-Jan-2024, 10:45:36 A.M.
CURRENT CUSTOMER

Stephen Mansfield, a lawyer in Vancouver, BC, was disbarred in 2018 for misappropriating \$400,000 in client funds and using the money to pay off gambling debts. He was charged with multiple counts of fraud and theft in 2023.

2 of 3 search results used [Show >](#)

Rate this search summary

[Add Entity Research to Case](#)

End of entity research results.

[Close](#)



Visual Storytelling

Visual smart evidence and interactive investigation tools

Investigators often rely on multiple sources to manually review evidence and alerts from spreadsheets across disparate systems.

Nasdaq Verafin’s dynamic Visual Storytelling tools help you quickly explore important information relating to suspicious activity, in an all-in-one platform.

Visual Storytelling Tools

Geographic Maps

Interactive geographic maps offer a visual representation of the source and destination of fund transfers to expedite domestic and international fraud, money laundering, and sanctions investigations.

Check Image Viewer

Nasdaq Verafin’s integrated Check Image Viewer allows you to display and review multiple check images directly from a customer transaction. Quickly review the front and back of a check, compare layouts, and mark checks as suspicious or fraudulent.

Evidence Cards

Evidence Cards offer an overview of the relevant details of your investigation, showing targeted alerts with risk-rated evidence. Evidence and data are presented in clear, easy-to-interpret formats for a more complete picture of risk.

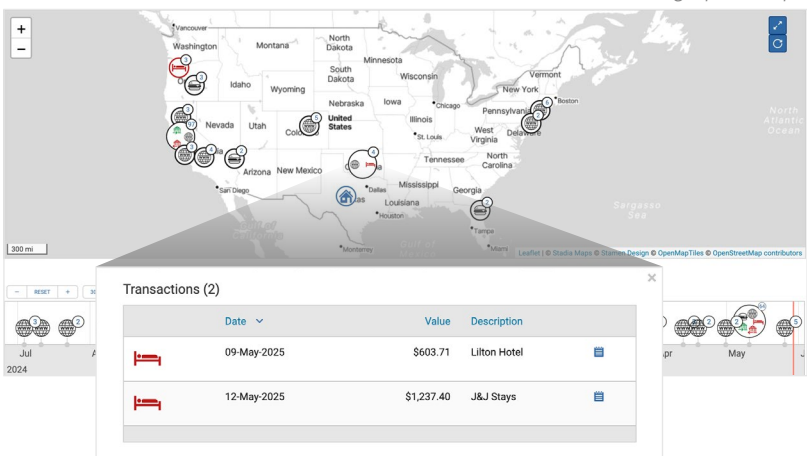
Counterparty Tab

Nasdaq Verafin automatically identifies and displays counterparties within the platform, expediting alert reviews by eliminating the need for multiple systems. Counterparty risk ratings are retained to avoid duplication of effort, improving efficiency for future alert reviews.

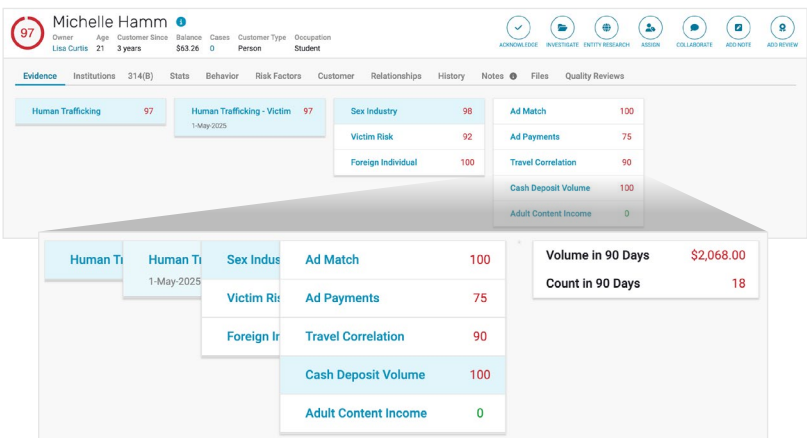
Statistics

Nasdaq Verafin provides a clear view of customer statistics to eliminate the need for spreadsheets and other manual processes. Transactions can be sorted, summarized, and compared with accuracy and ease – all within the application.

Geographic Maps



Evidence Cards



Link Analysis

Uncover complex networks across multiple institutions

Nasdaq Verafin's Link Analysis provides a single view of your customer, augmented with our unique consortium insights for a holistic look at activity across multiple channels and institutions. Investigators can efficiently view and investigate relationships and transactional activity without leaving the platform.

Link Charts

Link Charts show the relationship between the entities and institutions in your investigations, illustrating three key relationships:

Shared-Attribute Relationships

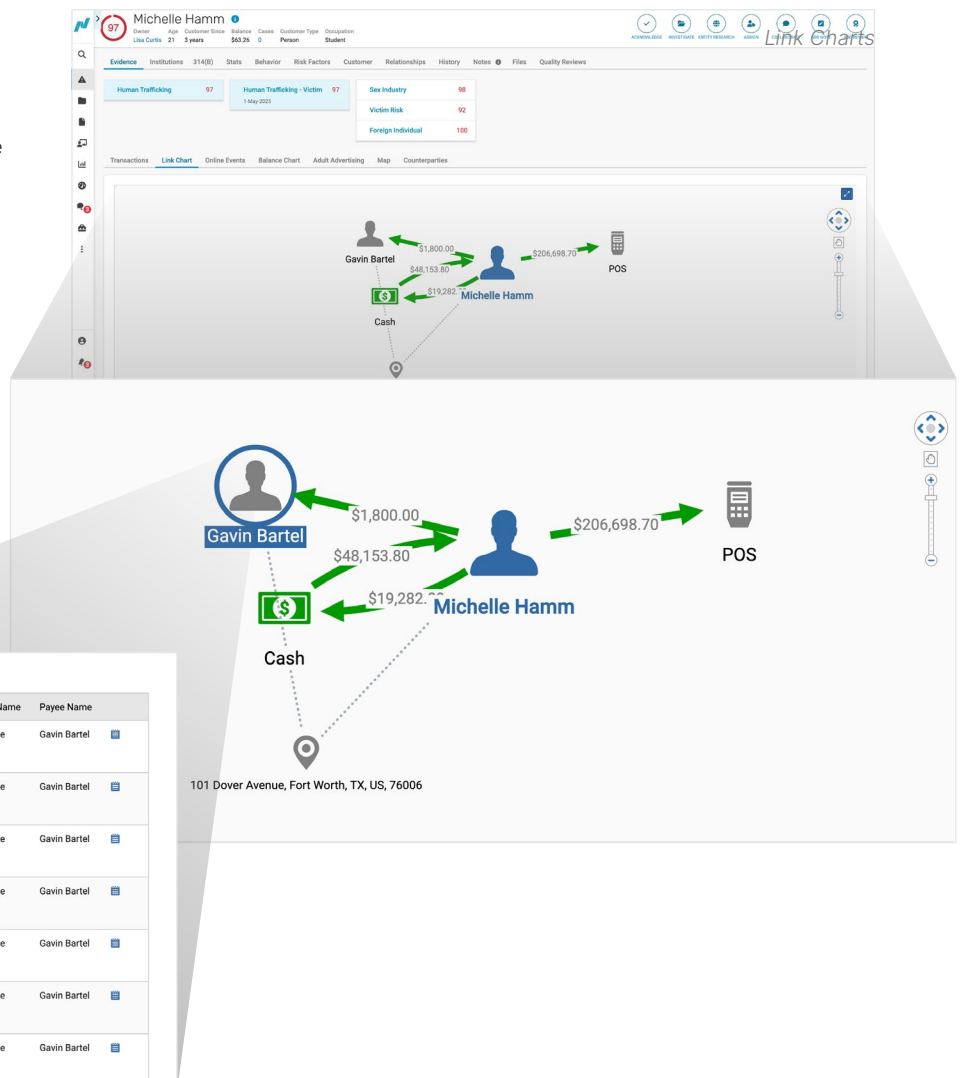
Displays demographic links and represents customers who share attributes such as a home address, telephone number, email, Taxpayer Identification Number (TIN), or IP address.

Account Relationships

Displays relationships imported into Nasdaq Verafin from your banking system and represents joint account owners and co-signers.

Transacted-With Relationships

Illustrates how individual and business payments (e.g. wire, ACH and check transactions) are related by visually connecting payors and payees.



Workforce Management

Oversee and manage investigative resources

Nasdaq Verafin's workforce and workflow management capabilities give you control over how investigations are conducted and monitored, helping improve workload management processes.

Product Features

Team Management

Configure intelligent assignment of alerts to remove manual processes used to perform alert triage and assignment.

- **Round Robin:** Alerts are assigned, in turn, to a pool of users. Can be configured to skip users who have entered an out-of-office event.
- **By State:** Alerts are assigned to users based on the location of the customer's main branch. For example, all alerts generated on customers in Hawaii can be assigned to a dedicated Nasdaq Verafin user assigned to review all alerts for Hawaii.
- **By Branch:** A user can be assigned to review all alerts that are generated for customers at a specific branch.

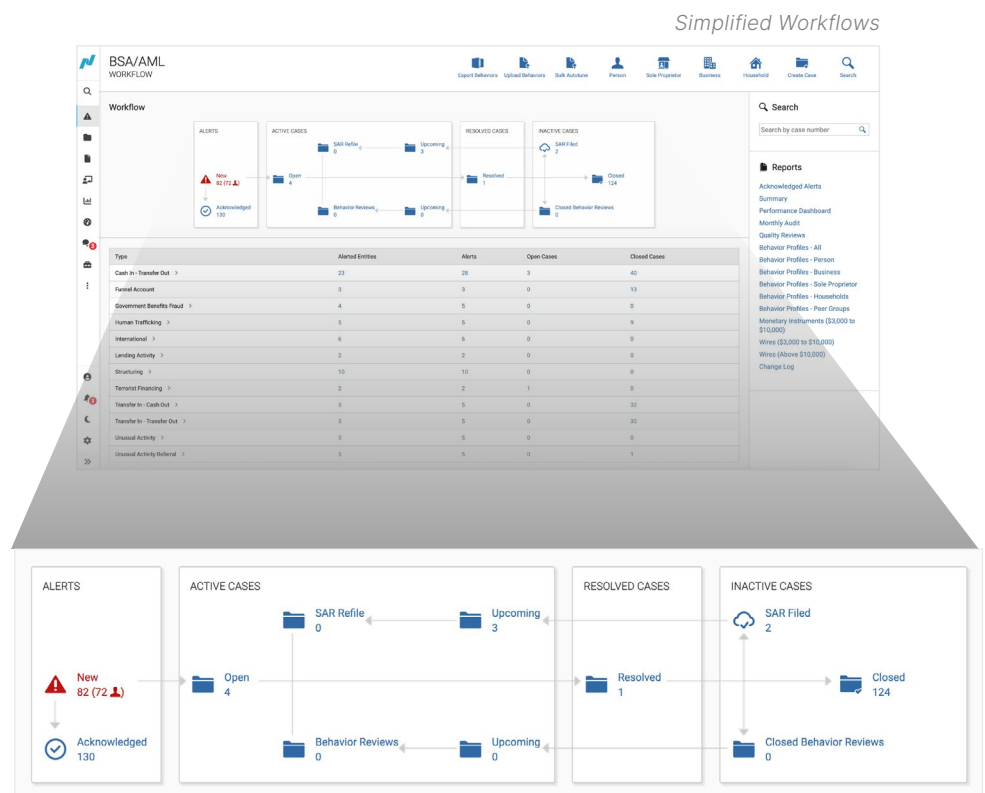
Simplified Workflows

Nasdaq Verafin provides a simplified view of the entire investigation, from alert through case management and filing. Investigators and management have access to a complete picture of outstanding and completed work at any given time.

Configurable Dashboards and Reporting

Nasdaq Verafin offers configurable dashboards, backed by robust enterprise reporting, to transform vast amounts of data into key business insights, assisting management with strategic planning and workforce resourcing. Easily create and configure your reports and dashboards for an overview tailored specifically to the needs of your institution – without ever leaving the application.

Performance dashboards include visual charts and graphs to easily assess your alerts, cases, and filed SARs. Quickly understand and report upon the types of customer activity generating alerts, the alert types generating the highest risk scores, or the alert types resulting in investigative cases or SARs. This powerful reporting capability improves strategic planning and workforce management, allowing you to direct resources for maximum efficiency and effectiveness.





Nasdaq Verafin provides cloud-based Financial Crime Management Technology solutions for Fraud Detection, AML/CFT Compliance, High-Risk Customer Management, Sanctions Screening and Management, and Information Sharing.

More than 2,600 financial institutions globally, representing more than \$10T in collective assets, use Nasdaq Verafin to prevent fraud and strengthen AML/CFT efforts.

Leveraging our unique consortium data approach in targeted analytics with artificial intelligence and machine learning, Nasdaq Verafin significantly reduces false positive alerts and delivers context-rich insights to fight financial crime more efficiently and effectively.

To learn how Nasdaq Verafin can help your institution fight fraud and money laundering

Visit: **www.verafin.com**

Email: **info@verafin.com**

Call: **1.877.368.9986**

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